

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Kenneth AUSTIN	)	
		)	
Serial No.:	09/061,712	)	
		)	
I.A. Serial No.:	PCT/GB98/02166	)	
		)	Group Art Unit: Not yet known
I.A. Filing Date:	July 20, 1998	)	
		)	Examiner: Unknown
National Phase		)	
Entry Date:	August 4, 2000	)	
	(by Express Mail)	)	
		)	
For:	VIDEO MEDIA	)	
	CONTROL SYSTEM	)	

COMMUNICATION

Assistant Commissioner for Patents  
 Box: PCT  
 Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts of Application (Form  
 PCT/DO/EO1905) dated August 21, 2000, enclosed is:

(a) a fax copy of the signed inventor Declaration, which refers to the above  
 international application as requested under 2(c); and

(b) a copy of the Patent Office Notice.

Also enclosed are the following:

(c) an Information Disclosure Statement;

(d) a Verified Statement Claiming Small Entity Status under 37 C.F.R. 1.27;  
 and

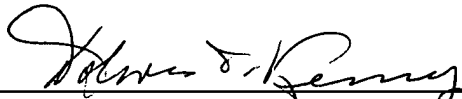
(e) a Request under 37 C.F.R. §1.28 for Refund of Excess Fees Paid.

Serial No. 09/061,712 - - - - - 2

Please credit any overpayment of fees concerning this matter to our Deposit  
Account No. 15-0508.

Respectfully submitted,


September 20, 2000

By   
Dolores T. Kenney (Reg. No. 31,269)

OLSON & HIERL, LTD.  
20 North Wacker Drive  
36th Floor  
Chicago, Illinois 60606  
(312) 580-1180

CERTIFICATE OF MAILING

I hereby certify that this correspondence and attachments is being deposited  
with the United States Postal Service with sufficient postage as first class mail in an envelope  
addressed to: Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, on  
September 20, 2000.

  
Dolores T. Kenney

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Entry Date:	August 4, 2000	)	
	(by Express Mail)	)	
		)	
For:	VIDEO MEDIA	)	
	CONTROL SYSTEM	)	

**REQUEST UNDER 37 C.F.R. §1.28 FOR REFUND OF EXCESS FEES PAID**

Assistant Commissioner for Patents  
 Box: PCT  
 Washington, D. C. 20231

Sir:

In accordance with 37 C.F.R. §1.27 and §1.28, the applicant has established small entity status and hereby requests a refund of excess fees paid on August 4, 2000 in the amount of \$689.00. In support of this Request, the following documents are attached.

- (a) A true copy of Verified Statement Claiming Small Entity, signed by Kenneth Austin, Managing Director of 4TV Limited;
- (b) A copy of page 2 of the letter transmitting the application to the PCT with the breakdown of applicable fees actually paid;
- (c) A copy of the front and back of Check No. 16396 in the amount of \$1,378.00 showing that it was cashed on August 9, 2000; and

Serial No. 09/061,712 - - - - - 2

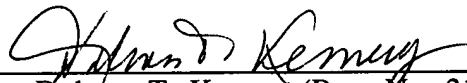
(d) A copy of the front and back of applicant's return addressed postcard, showing the date received stamp of the PCT/PTO of August 4, 2000 and Serial No. 09,601,712 and listing of Check No. 16396 in the enclosures filed.

The USPTO is requested to promptly process the refund, either by crediting the undersigned attorneys Deposit Account No. 15-0508 or by check.

An early response would be appreciated.

Respectfully submitted,

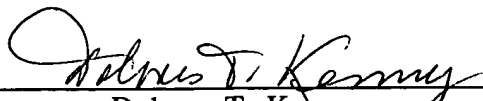
September 20, 2000

By   
Dolores T. Kenney (Reg. No. 31,269)

OLSON & HIERL, LTD.  
20 North Wacker Drive  
36th Floor  
Chicago, Illinois 60606  
(312) 580-1180

CERTIFICATE OF MAILING

I hereby certify that this correspondence and attachments is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, on September 20, 2000.

  
Dolores T. Kenney

OLSON & HIERL, LTD.  
20 N. WACKER DR., 36TH FLOOR  
CHICAGO, IL 60606  
(312) 580-1180

AMERICAN NATIONAL BANK  
AND TRUST COMPANY OF CHICAGO  
CHICAGO, IL 60690  
2-777/10

16396

8/4/2000

PAY TO THE  
ORDER OF Commissioner of Patents and Trademarks

\$ \*\*1,378.00

One Thousand Three Hundred Seventy-Eight and 00/100\*\*\*\*\*

Comm. of Patents & Trademarks  
Washington, DC 20231

PCT Filing Fee

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Security features  
included.  
Details on back.

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PATENT AND TRADEMARK OFFICE  
FOR CREDIT TO THE  
U.S. TREASURY  
08-09-2000  
13-10-2001

01982 054 008 1 542 # 001 INTLNT INC 1984-1981

424 Rec'd PCT/PTO 04 AUG 2000

THE UNITED STATES PATENT OFFICE IS REQUESTED TO IMPRESS ITS STAMP  
ON THIS CARD AND PLACE SAME IN THE OUT-GOING MAIL TO SHOW THE  
FOLLOWING PAPERS HAVE BEEN RECEIVED.

MAH/DTK:mjg

U.S. filing under 35 U.S.C. 371

Docket No.: ROY-007  
PCT Appln. No.: PCT/GB98/02166  
Int'l. Filing Date: 20 JUL 1998  
U.S. Nt'l. Phase entry date: 04 AUG 2000  
Inventors: Kenneth Austin  
For: VIDEO MEDIA CONTROL SYSTEM

09/601712

Enclosed with this postcard are: Transmittal Letter (3 pp.); Preliminary Amendment (6 pp.); forms PCT/IB/308 and PCT/IB/332; first page of PCT publication, Search Report (5 pp.); Written Opinion (5 pp.); Resp. to Written Opinion (2 pp.); Int'l. Preliminary Examination Report with annexes thereto (18 pp.); Abstract, and Check No. 16396 in the amount of \$1,378.00.

M. by Express Mail No. EL620890885US on 04 AUG 2000 to Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.

US APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. PCT/GB98/02166	ATTORNEYS DOCKET NUMBER ROY-007
-----------------------------------------------	-------------------------------------------------	------------------------------------

15. ☐ A change of power of attorney and/or address letter;

16. ☒ Other items or information:

(a) copy of forms PCT/IB/308 and PCT/IB/332;

(b) copy of the First Page of International Publication;

(c) copy of International Search Report;

(d) copy of Written Opinion and Response thereto;

(e) copy of International Preliminary Examination Report and its annexes;

(f) separate abstract page to conform application to US practice.

17. <input checked="" type="checkbox"/> The following fees are submitted:	CALCULATIONS <span style="float: right; font-size: small;">PTO USE ONLY</span>													
<p><b>BASIC NATIONAL FEE (37 CFR 1.492(A)(1)-(5)):</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$970.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$690.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00</p> <p style="text-align: center;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT=</b></p>														
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$840.00													
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">Claims</th> <th style="width: 15%;">Number Filed</th> <th style="width: 15%;">Number Extra</th> <th style="width: 15%;">Rate</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">44</td> <td style="text-align: center;">14</td> <td style="text-align: center;">X \$ 18.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">5</td> <td style="text-align: center;">2</td> <td style="text-align: center;">X \$ 78.00</td> </tr> </table>	Claims	Number Filed	Number Extra	Rate	Total Claims	44	14	X \$ 18.00	Independent Claims	5	2	X \$ 78.00	\$130.00	
Claims	Number Filed	Number Extra	Rate											
Total Claims	44	14	X \$ 18.00											
Independent Claims	5	2	X \$ 78.00											
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	+ \$260.00													
<b>TOTAL OF ABOVE CALCULATIONS =</b>	<b>\$1,378.00</b>													
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).	\$0.00													
<b>SUBTOTAL =</b>	<b>\$1,378.00</b>													
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	\$0.00													
<b>TOTAL NATIONAL FEE =</b>	<b>\$1,378.00</b>													
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40 per property	\$0.00													
<b>TOTAL FEES ENCLOSED =</b>	<b>\$1,378.00</b>													
	Amount to be refunded	\$												
	charged	\$												